

08/24/99



JCS03 U.S. PTO

☐ NEW PATENT APPLICATION
☐ CONTINUATION-IN-PART
☒ CONTINUATION
☐ DIVISIONAL
☐ FILE WRAPPER CONTINUATION

ASSISTANT COMMISSIONER
 FOR PATENTS
 Washington, D.C. 20231

PATENT
 Attorney Docket No. DIVER 1180-1

JCS15 U.S. PTO

09/382242



08/24/99

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL" MAILING LABEL NO. EL382208665US

DATE OF DEPOSIT August 24, 1999 HEREBY CERTIFY THAT THIS
 PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL
 SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37
 C.F.R. 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE
 ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231

Rita H. Jennings

(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)

Rita H. Jennings

SIGNATURE OF PERSON MAILING PAPER OR FEE

Sir:

Transmitted herewith for filing is the divisional patent application of

Inventors: Dan E. Robertson; Dennis Murphy; John Reid; Anthony M. Maffia; Steven Link;
 Ronald V. Swanson; Patrick V. Warren

For: **ESTERASES**

This is a request for filing a X continuation divisional application under 37 C.F.R.
 1.53(b), of prior Application No. 08/602,359, filed on February 16, 1996, now pending.

FULL NAME OF FIRST INVENTOR	LAST NAME: Robertson	FIRST NAME: Dan	MIDDLE NAME: E.
CITIZENSHIP	STATE OR FOREIGN COUNTRY: USA		
POST OFFICE ADDRESS	POST OFFICE ADDRESS: 33 Evergreen Lane	CITY AND STATE: Haddonfield, New Jersey	ZIP CODE: 08033
FULL NAME OF SECOND INVENTOR	LAST NAME: Murphy	FIRST NAME: Dennis	MIDDLE NAME: none
CITIZENSHIP	STATE OR FOREIGN COUNTRY: USA		
POST OFFICE ADDRESS	POST OFFICE ADDRESS: 10 Fairway Road	CITY AND STATE: Paoli, Pennsylvania	ZIP CODE: 19301

FULL NAME OF THIRD INVENTOR	LAST NAME: Reid	FIRST NAME: John	MIDDLE NAME: none
CITIZENSHIP	STATE OR FOREIGN COUNTRY: USA		
POST OFFICE ADDRESS	POST OFFICE ADDRESS: 922 Montgomery Avenue, Apt. J-2	CITY AND STATE: Bryn Mawr, Pennsylvania	ZIP CODE: 19010
FULL NAME OF FOURTH INVENTOR	LAST NAME: Maffia	FIRST NAME: Anthony	MIDDLE NAME: M.
CITIZENSHIP	STATE OR FOREIGN COUNTRY: USA		
POST OFFICE ADDRESS	POST OFFICE ADDRESS: 2505-3A Cedar Tree Lane	CITY AND STATE: Wilmington, Delaware	ZIP CODE: 19810
FULL NAME OF FIFTH INVENTOR	LAST NAME: Link	FIRST NAME: Steven	MIDDLE NAME: none
CITIZENSHIP	STATE OR FOREIGN COUNTRY: USA		
POST OFFICE ADDRESS	POST OFFICE ADDRESS: 108 Gibson Avenue	CITY AND STATE: Wilmington, Delaware	ZIP CODE: 19803
FULL NAME OF SIXTH INVENTOR	LAST NAME: Swanson	FIRST NAME: Ronald	MIDDLE NAME: V.
CITIZENSHIP	STATE OR FOREIGN COUNTRY: USA		
POST OFFICE ADDRESS	POST OFFICE ADDRESS: 309 N. Lemon Street, Apt. A	CITY AND STATE: Media, Pennsylvania	ZIP CODE: 19063
FULL NAME OF SEVENTH INVENTOR	LAST NAME: Warren	FIRST NAME: Patrick	MIDDLE NAME: V.
CITIZENSHIP	STATE OR FOREIGN COUNTRY: USA		
POST OFFICE ADDRESS	POST OFFICE ADDRESS: Sheffield Ave.	CITY AND STATE: Philadelphia, Pennsylvania	ZIP CODE: 19163
FULL NAME OF EIGHTH INVENTOR	LAST NAME: Kosmotka	FIRST NAME: Anna	MIDDLE NAME: none
CITIZENSHIP	STATE OR FOREIGN COUNTRY: USA		
POST OFFICE ADDRESS	POST OFFICE ADDRESS: 224 Harrison Road	CITY AND STATE: Brookhaven, Pennsylvania	ZIP CODE: 19015

No payment of the issue fee, abandonment of, or termination of proceeding has occurred in the above-identified prior application.

1. ☒ Cancel in this application original claims 2-20 of the prior application. (At least one original independent claim must be retained for filing purposes.)
2. ☒ A preliminary amendment is enclosed.

The filing fee has been calculated as shown below:

For	Number Filed		Number Extra		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	6	=	0	X	\$9	\$18	=	\$.00	\$ 0
Independent Claims	1	=	0	X	\$39	\$78	=	\$.00	0
Multiple Dependent Claims Presented: ___ Yes <u>X</u> No					\$130	\$260			0
BASIC FEE					\$380	\$760		\$380.00	\$ 0
TOTAL FEE								\$380.00	\$ 0

3. X Please charge my Deposit Account No. 07-1895 the TOTAL FEE of \$380.00, which covers the filing fee for this application. A duplicate copy of this sheet is enclosed.
4. X The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.
- X Any additional filing fees required under 37 C.F.R. 1.16.
- X Any patent application processing fees under 37 C.F.R. 1.17.
5. X Amend the specification by inserting before the first paragraph on page 1:

A1 This application is a X continuation ___ divisional of application
Serial No. 08/602,359 filed on February 16, 1996, now pending; the entire
contents of which are hereby incorporated by reference herein.

6. X A verified statement claiming small entity status was filed in parent application
Serial No. 08/602,359, filed July 25, 1996, and such status is still proper.
7. X The prior application is assigned of record to RECOMBINANT BIOCATALYSIS,
INC.
8. X The power of attorney in the prior application is to Lisa A. Haile, Registration
No. 38,347.
9. X Please transfer the drawings from the prior application to the new application.

10. X A true copy of the prior application as filed is enclosed, including the Declaration and Power of Attorney filed in parent application, U.S. Serial No. 08/602,359, filed February 16, 1996.
11. X An Associate Power of Attorney is enclosed.
12. — Information Disclosure Statements filed in the prior application under 37 C.F.R. 1.97 are hereby made of record.
13. X Please transfer the computer readable form (CRF) copy of the Sequence Listing from the prior application, which CRF copy was filed with a Communication mailed July 28, 1997, to this new application.
14. X Please transfer the Statement under 37 C.F.R. § 1.821(f) and (g) from the prior application, which Statement was filed with a Communication mailed July 28, 1997, to this new application.
15. — Also enclosed: Copy of Petition for Extension of Time in parent application U.S. Serial No.: _____

Address all future communications to:

Lisa A. Haile, Ph.D.
GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1600
San Diego, California 92121-2189
Telephone: 858-677-1456
Facsimile: 858-677-1465

The undersigned states that the enclosed application papers comprise a true copy of the prior application as filed.

Respectfully submitted,

Date: August 24, 1999



Lisa A. Haile, Ph.D.
Attorney for Applicant
Registration No. 38,347

GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1600
San Diego, CA 92121-2189
GT6143399
104703-156621